Reduced Assessment

START → Covered Person (CP) requests for Reduced Assessment (RA)

CP qualifies for RA?

- **No** → Prepare Memo to AMLC or Executive Director (ED), as the case may be, denying RA; Enforcement Action (EA) Procedures to continue → Prepare Notice to CP of Denial of RA → END

- **Yes** → Prepare Report of Compliance (ROC) addressed to the AMLC or ED, as the case may be, granting RA. → Prepare Notice to CP of Grant of RA → Payment of RA

CP addresses violations?

- **No** → Endorse ROC and Follow-up Report of Compliance (FROC) to Legal and Enforcement Group (LEG) for filing of Formal Charge under Rules and Proceeding of Administrative Cases (RPAC) → END

- **Yes** → Prepare Memo to ED recommending Termination of RA Proceedings → Termination of RA Proceedings → END